

The labelling approach to certified cost annotations



CerCo

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Concrete and certified complexity

```
int summul (int n) {  
  int accu = 1;  
  int stop = 1;  
  int k = n;  
  while (k >= stop) {  
    accu += accu * k;  
    k -= 1;  
  }  
  return (accu);  
}
```

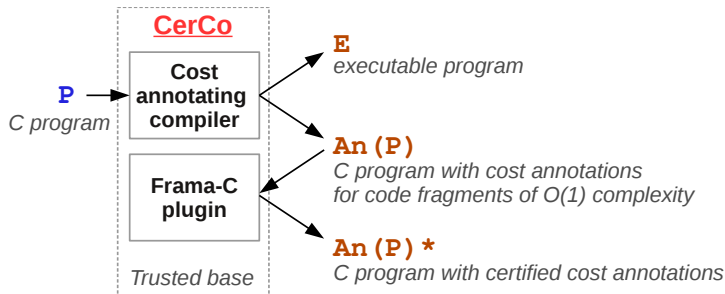
↔

```
$v0 ← 1  
$a1 ← 1  
summul10:  
$a3 ← 0  
$a2 ← $a0 ≥ $a1  
$a2 ← $a2 == $zero  
$a2 == $a3 ⇒ summul6  
jr $ra  
summul6:  
$a2 ← $v0 × $a0  
$v0 ← $v0 + $a2  
$a2 ← 1  
$a0 ← $a0 - $a2  
j summul10
```

- ▶ Reasoning about the complexity is rather made on the source.
- ▶ Concrete execution costs are better guessed on the binary code.

How can we *lift* in a provably correct way information on the execution cost of the binary code to cost annotations on the source code?

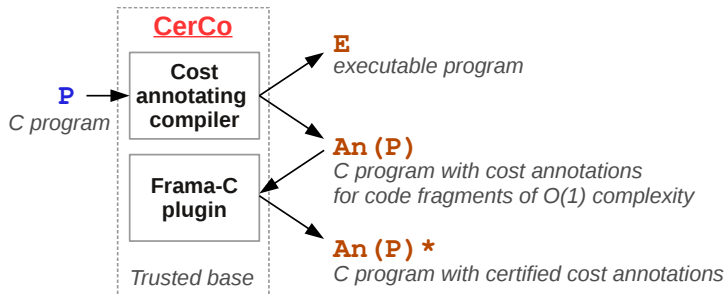
Cost annotating compilation



This talk

1. How can we extend existing proof techniques for semantic preservation from compilers to cost annotating compilers?
2. Do these proof techniques scale to the programming languages and hardware architectures typically used in embedded systems?

Cost annotating compilation



Key technical points

- (i) What meaning for the cost annotations in $An(P)$?
- (ii) How to provide them being **sound** and **precise**?
- (iii) How to *compose* the proofs?

What meaning for the cost annotations $An(P)$?

$An(P)$ must behave as P while **self-monitoring** the execution cost.

Example

P

```
int summul (int n) {
  int accu = 1;
  int stop = 1;
  int k = n;
  while (k >= stop) {
    accu += accu * k;
    k -= 1;
  }
  return (accu);
}
```

E

```
$v0 ← 1
$a1 ← 1
summul10:
$a3 ← 0
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$a0 ← $a0 - $a2
j summul10
```

$An(P)$

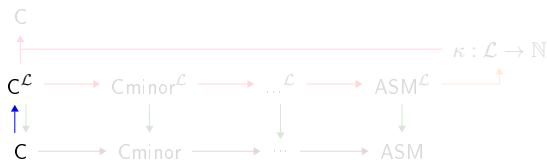
```
int summul (int n) {
  int accu = 1;
  int stop = 1;
  int k = n;
  _cost += 2;
  while (k >= stop) {
    _cost += 9;
    accu += accu * k;
    k -= 1; }
  _cost += 1;
  return (accu);
}
```

How to provide sound and precise cost annotations? (1/3)

Definitions

- ▶ A cost annotation is **sound** if the predicted cost is an upper bound of the real execution cost.
- ▶ A cost annotation is **precise** if the difference between the predicted cost and the real cost is bounded by a constant δ that only depends on the program (not the input).

How to provide sound and precise cost annotations? (2/3)



Labels in the source code represents **symbolic cost updates**.

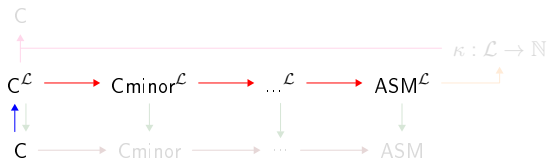
(We will come back later on the strategic locations where to put the labels.)

```
int summul (int n) {  
  int accu = 1;  
  int stop = 1;  
  int k = n;  
  while (k >= stop) {  
    accu += accu * k;  
    k -= 1;  
  }  
  return (accu);  
}
```

$\mathcal{L}(P)$
 \Rightarrow

```
int summul (int n) {  
  _cost2:  
  int accu = 1;  
  int stop = 1;  
  int k = n;  
  while (k >= stop) {  
    _cost3:  
    accu += accu * k;  
    k -= 1; }  
  _cost4:  
  return (accu);  
}
```

How to provide sound and precise cost annotations? (2/3)



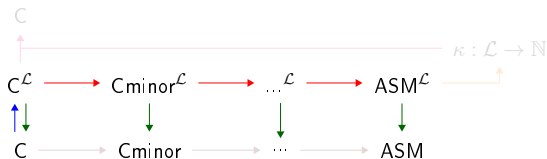
Labels are conveyed through the compilation, down to the binary.

```
int summul (int n) {  
  _cost2:  
  int accu = 1;  
  int stop = 1;  
  int k = n;  
  while (k >= stop) {  
    _cost3:  
    accu += accu * k;  
    k -= 1; }  
  _cost4:  
  return (accu);  
}
```

$C_{\mathcal{L}}(\mathcal{L}(P))$
 \Rightarrow

```
emit _cost2  
$v0 ← 1  
$a1 ← 1  
summul10:  
$a3 ← 0  
$a2 ← $a0 ≥ $a1  
$a2 ← $a2 == $zero  
$a2 == $a3 ⇒ summul6  
emit _cost4  
jr $ra  
summul6:  
emit _cost3  
$a2 ← $v0 × $a0  
$v0 ← $v0 + $a2  
$a2 ← 1  
$a0 ← $a0 - $a2  
j summul10
```


How to provide sound and precise cost annotations? (2/3)



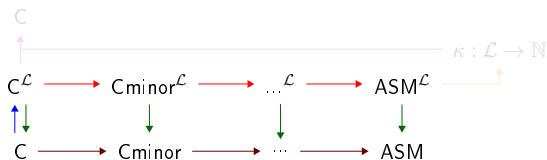
There are **erasure** functions \mathcal{E} from **labelled** to unlabelled languages.

```
int summul (int n) {
  _cost2:
  int accu = 1;
  int stop = 1;
  int k = n;
  while (k >= stop) {
    _cost3:
    accu += accu * k;
    k -= 1; }
  _cost4:
  return (accu);
}
```

$\mathcal{E}(C_{\mathcal{L}}(\mathcal{L}(P)))$
 \Rightarrow

```
emit _cost2
$v0 ← 1
$a1 ← 1
summul10:
$a3 ← 0
$a2 ← $a0 ≥ $a1
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emit _cost4
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summul6:
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$v0 ← $v0 + $a2
$a2 ← 1
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```

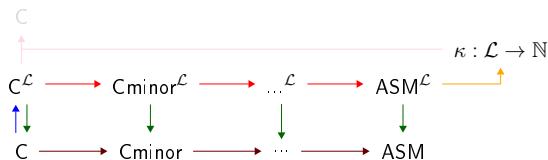
How to provide sound and precise cost annotations? (2/3)



Labelled compilation extends the compilation in a modular way.

$$\mathcal{E}_{\text{ASM}}(\mathcal{C}_{\mathcal{L}}(\mathcal{L}(P))) = \mathcal{C}(P)$$

How to provide sound and precise cost annotations? (2/3)



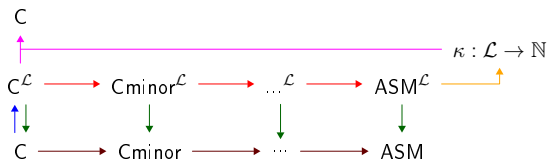
The cost of a label is deduced from the instructions in its scope.

```
emit _cost2
[ $v0 ← 1
  $a1 ← 1
  summul10:
  [ $a3 ← 0
    $a2 ← $a0 ≥ $a1
    $a2 ← $a2 == $zero
    $a2 == $a3 ⇒ summul6
    emit _cost4
  [ jr $ra
    summul6:
    emit _cost3
    [ $a2 ← $v0 × $a0
      $v0 ← $v0 + $a2
      $a2 ← 1
      $a0 ← $a0 - $a2
    ]
  ]
j summul10
```

⇒

$l \in \mathcal{L}$	$\kappa(l)$
<code>_cost2</code>	6
<code>_cost3</code>	9
<code>_cost4</code>	1

How to provide sound and precise cost annotations? (2/3)

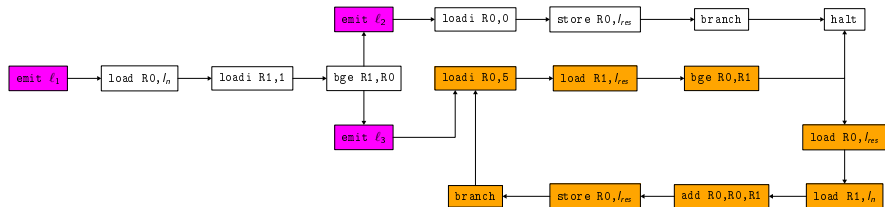


Instrumentation injects κ in the source to reason about the complexity.

```
int summul (int n) {
    int accu = 1;
    int stop = 1;
    int k = n;
    _cost += 2;
    while (k >= stop) {
        _cost += 9;
        accu += accu * k;
        k -= 1; }
    _cost += 1;
    return (accu);
}
```

How to provide sound and precise cost annotations? (3/3)

```
ℓ1:  
if (n < 1)  
  ℓ2: res = 0  
else  
  ℓ3:  
  while (res < 5)  
    res = res + n
```

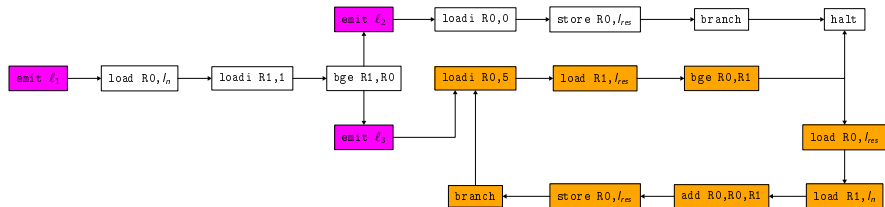


Sufficient conditions on labelling for **soundness**

- ▶ There must be a label inside each loop.
- ▶ Every reachable code must be in the scope of a label.

How to provide sound and precise cost annotations? (3/3)

```
 $\ell_1$ :  
if (n < 1)  
   $\ell_2$ : res = 0  
else  
   $\ell_3$ :  
  while (res < 5)  
    res = res + n
```

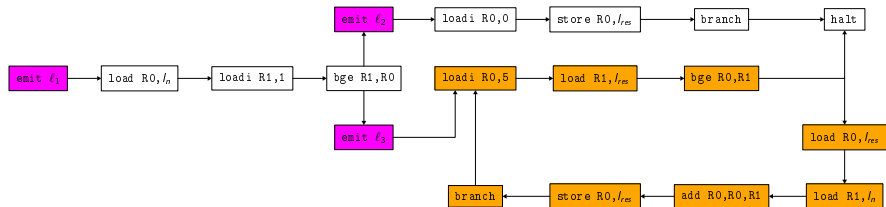


Sufficient conditions on labelling for **soundness**

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How to provide sound and precise cost annotations? (3/3)

```
ℓ1:  
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    res = res + n
```

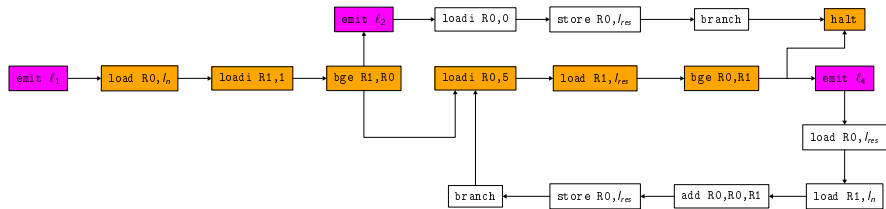


Sufficient conditions on labelling for **soundness**

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How to provide sound and precise cost annotations? (3/3)

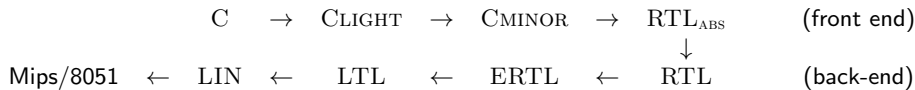
```
l1:  
if (n < 1)  
  l2: res = 0  
else  
  
  while (res < 5)  
    l4: res = res + n
```



Sufficient conditions on labelling for **precision**

Two different paths from the same label must have the same cost.

A cost annotating compiler for the C language



- ▶ Untrusted compiler prototype written in OCaml.
- ▶ Inspired from CompCert, a C compiler certified in Coq.
- ▶ Handle a large subset of C.
- ▶ Optimize moderately.
- ▶ The Intel 8051 microprocessor has a specified cost model.

Demo

Conclusion, ongoing and further work

- ▶ The labelling method allows a modular extension of a compiler and its proof of correctness to produce sound and precise cost annotations.
- ▶ It scales to functional programming languages.
- ▶ It is being generalized to handle more aggressive optimizations.
- ▶ The CerCo compiler is being certified in the Matita proof assistant.

Thanks for your attention!
Any questions?

Get related (free) software here:

<http://www.pps.univ-paris-diderot.fr/cerco>